

# CSCI 104

## Recursion –

# Combinations & Backtracking

CSCI 104 Teaching Team  
Reviewed for Spring 2025

# Recursion in CS 104

- Problem in which the **solution** can be expressed in terms of itself (usually a **smaller instance**/input of the same problem) **and a base/terminating case**
- Recursion is a **key concept** in this course
  - But it rarely comes easily to students. You must work at it!
- Many problems that would be VERY difficult to solve without recursion (i.e. only loops) have extremely ***elegant solutions*** to problems
  - Learn to look for those elegant solutions
  - In this class, assume the recursive approach has an elegant/simple solution
  - If you find yourself writing a large, complex recursive solution, assume you are doing something you should not!
    - Stop and reconsider how it should be done

# Simple vs. Multiple Recursion

- **"Simple"** recursion refers to functions that contain just **ONE recursive call**
  - Can be head or tail recursion (explained soon)
  - **Can easily be replaced by a loop**
- The power of recursion usually comes when the function makes **2 OR MORE recursive calls** (aka **"multiple recursion"**)
  - Elegant recursive solutions that would be **MUCH harder to implement iteratively** (usually need a separate stack data structure)
- We'll focus on **multiple recursion**

```
void print(Item* p)
{
    if(p == NULL) return;
    else {
        cout << p->val << endl;
        print(p->next);
    }
}
```

**Simple Recursion**  
(1 recursive call)

```
void postorder(TNode* t)
{
    if(t == NULL) return
    postorder(t->left)
    postorder(t->right)
    process(t) // print val.
}
```

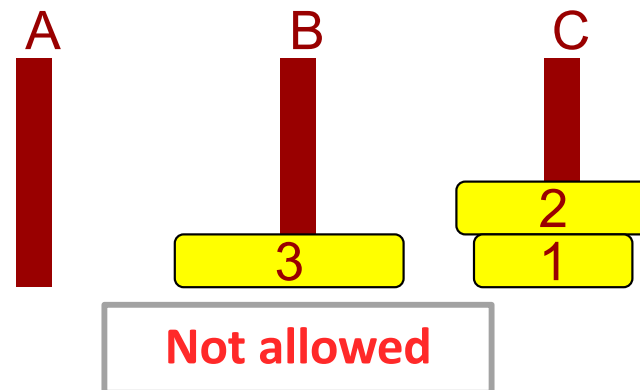
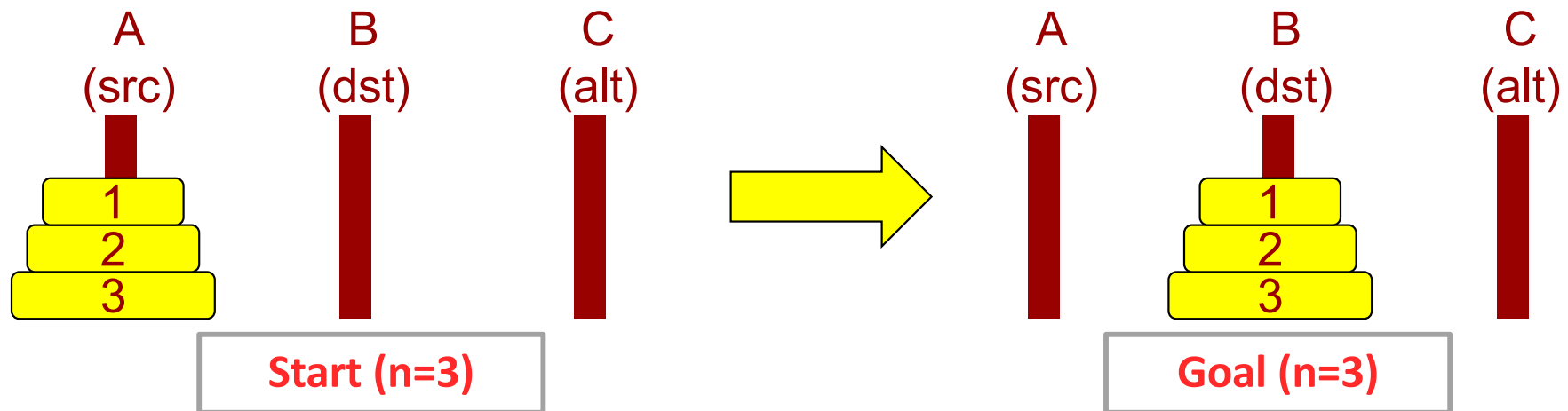
**Multiple Recursion**  
(2 or more recursive calls)

# Steps to Formulating Recursive Solutions

1. Solve a few instances of the problem to discover the recursive structure
2. Identify how the problem can be decomposed into smaller problems of the same form
  - Does solving the problem on an input of smaller value or size help formulate the solution to the larger
3. Identify the base case
  - An input for which the answer is trivial
4. Assume the recursive call for the smaller problem "magically" computes the correct solution(s) to those problem(s) and **identify how to combine those solution(s)** from the smaller problem(s) into the solution for the larger problem

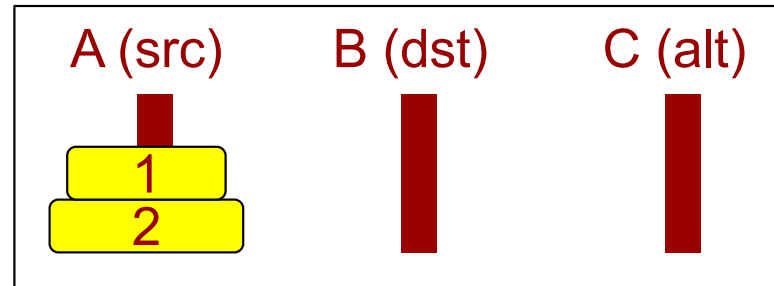
# Towers of Hanoi Problem

- Problem Statements: Move  $n$  discs from source pole to destination pole (with help of a 3<sup>rd</sup> alternate pole)
  - Cannot place a larger disc on top of a smaller disc
  - Can only move one disc at a time

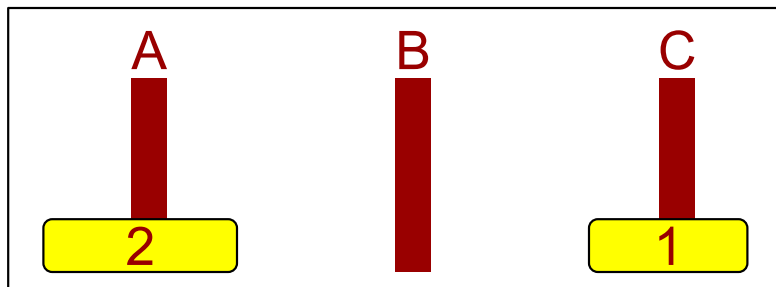


# Observation 1

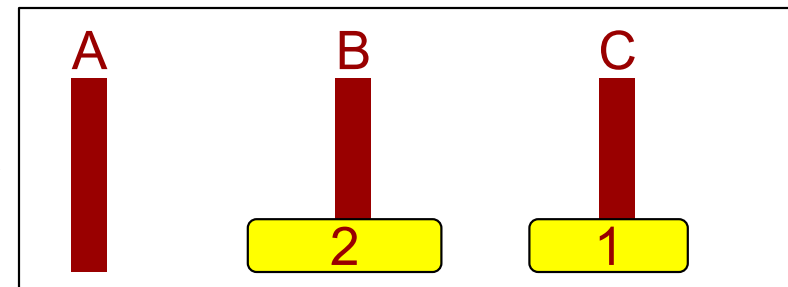
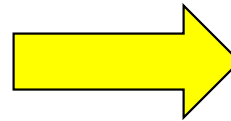
- Observation 1: Disc 1 (smallest) can always be moved
- Solve the n=2 case:



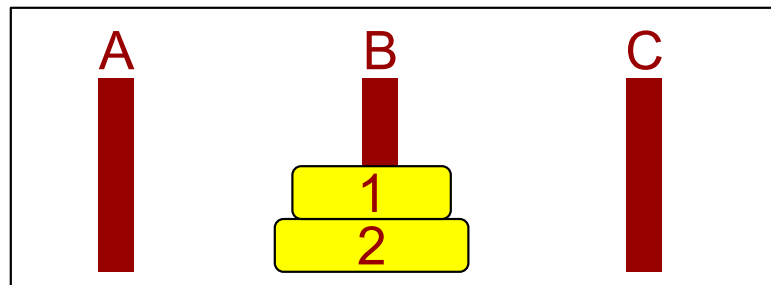
Start



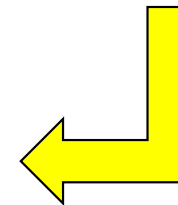
Move 1 from src to alt



Move 2 from src to dst

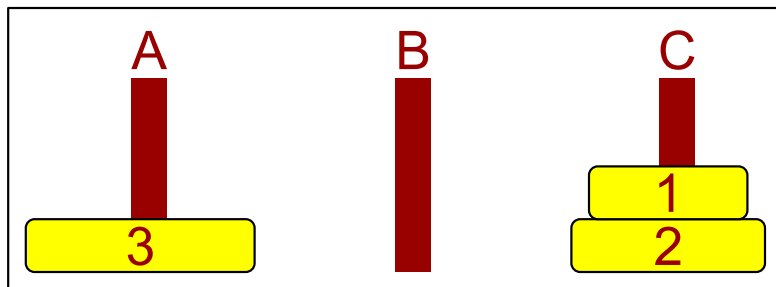
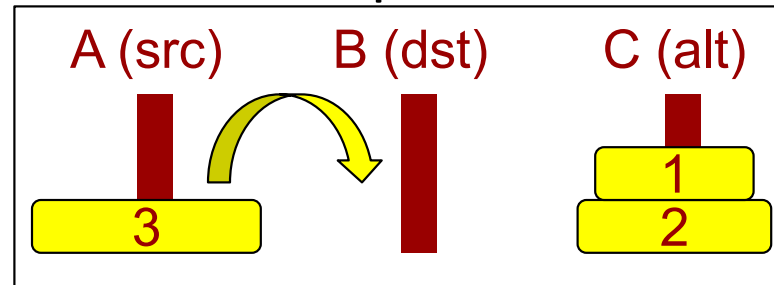


Move 1 from alt to dst

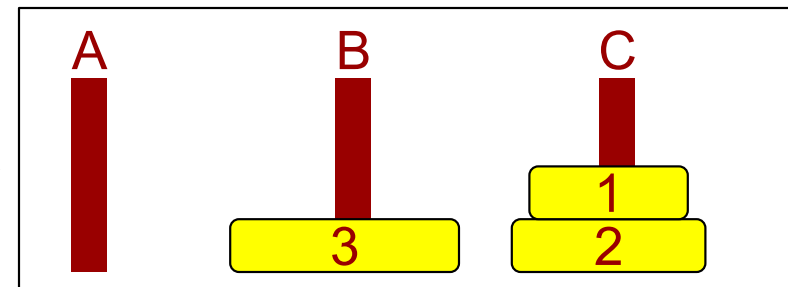


# Observation 2

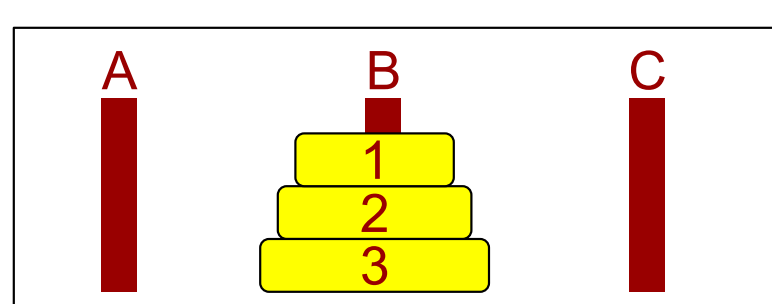
- Observation 2: If there is only one disc on the src pole and the dest pole can receive it the problem is trivial



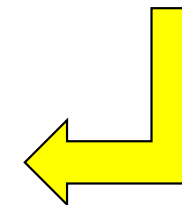
Move  $n-1$  discs from src to alt



Move disc  $n$  from src to dst

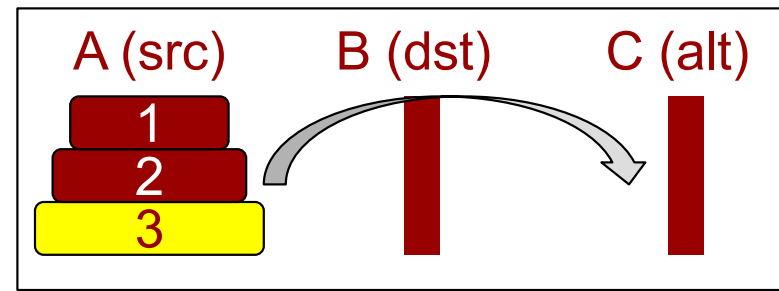


Move  $n-1$  discs from alt to dst



# Recursive solution

- But to move  $n-1$  discs from src to alt is really a smaller version of the same problem with
  - $n \Rightarrow n-1$
  - $\text{src} \Rightarrow \text{src}$
  - $\text{alt} \Rightarrow \text{dst}$
  - $\text{dst} \Rightarrow \text{alt}$



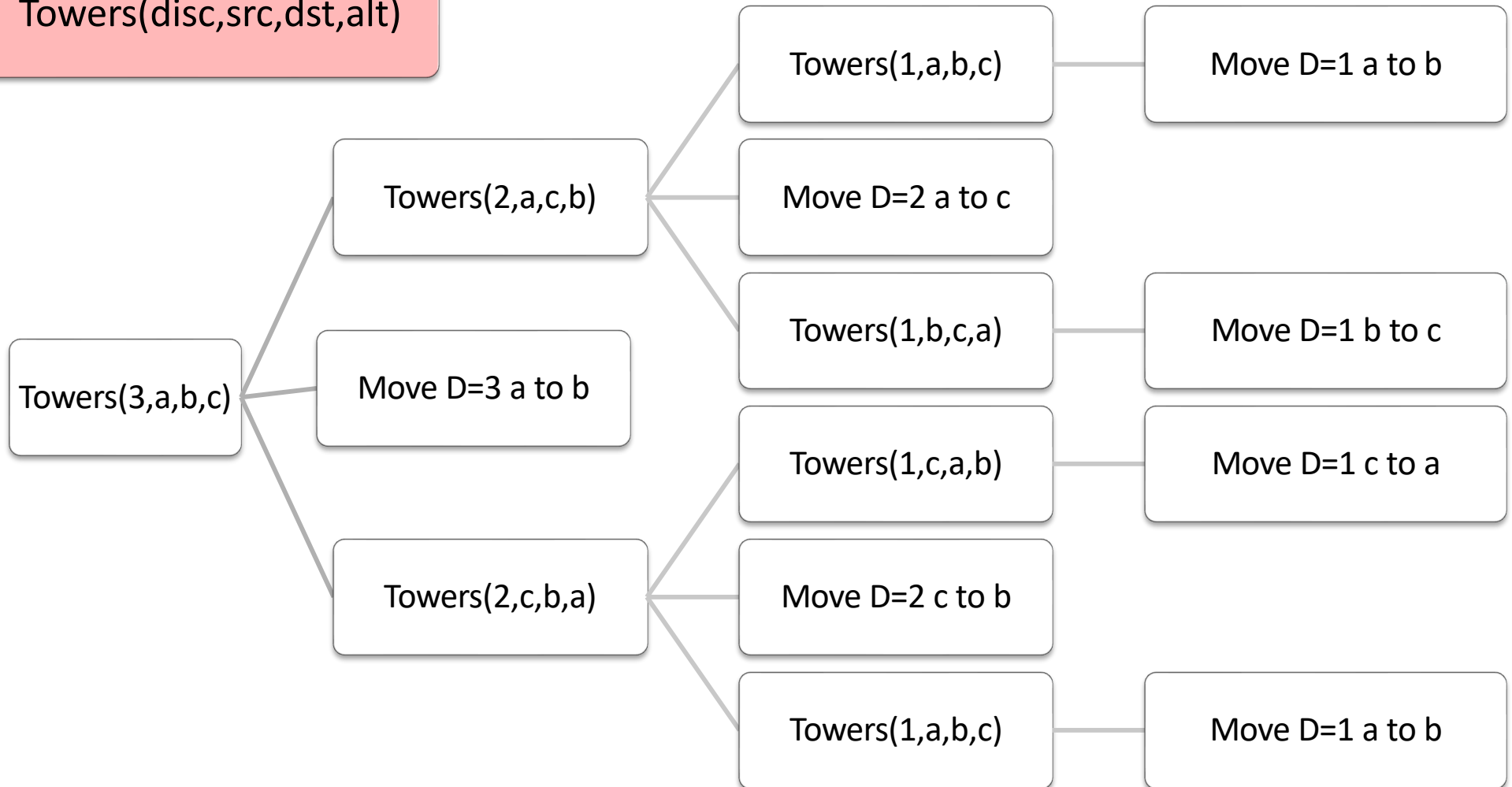
- Towers( $n, \text{src}, \text{dst}, \text{alt}$ )
  - Base Case:  $n=1$  // Observation 1: Disc 1 always movable
    - Move disc 1 from src to dst
  - Recursive Case: // Observation 2: Move of  $n-1$  discs to alt & back
    - Towers( $n-1, \text{src}, \text{alt}, \text{dst}$ )
    - Move disc  $n$  from src to dst
    - Towers( $n-1, \text{alt}, \text{dst}, \text{src}$ )



# Recursive Box Diagram

## Towers Function Prototype

Towers(disc,src,dst,alt)



# GENERATING ALL COMBINATIONS

# Recursion's Power

- The power of recursion often comes when each function instance makes ***multiple*** recursive calls
- As you will see this often leads to an exponential number of "combinations" being generated/explored in an easy fashion

# Binary Combinations

- If you are given the value,  $n$ , and a string with  $n$  characters could you generate all the combinations of  $n$ -bit binary?
- Do so recursively!

0
1

**1-bit  
Bin.**

00
01
10
11

**2-bit  
Bin.**

000
001
010
011
100
101
110
111

**3-bit  
Bin.**

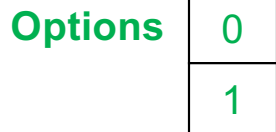
0000
0001
0010
0011
0100
0101
0110
0111
1000
1001
1010
1011
1100
1101
1110
1111

**4-bit  
Bin.**

**Exercise: `bin_combo_str`**

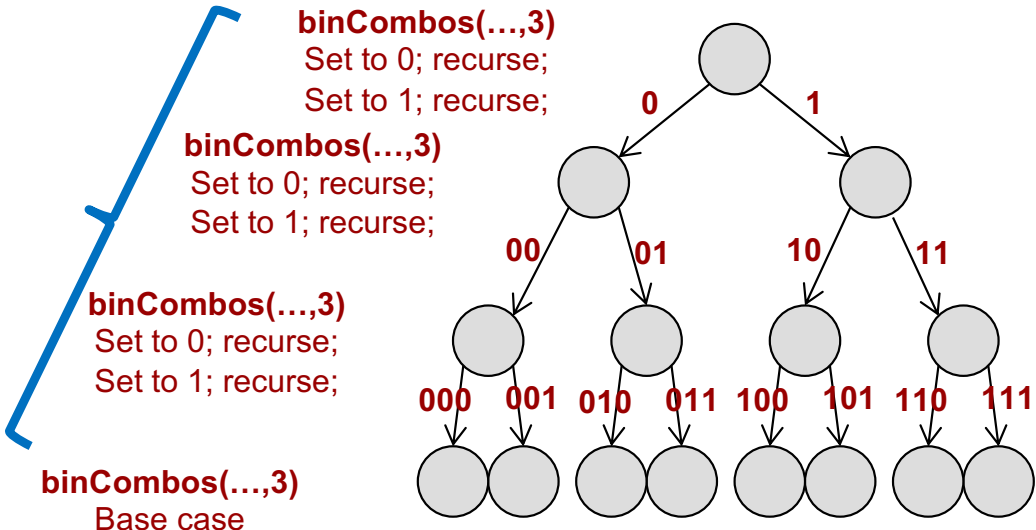
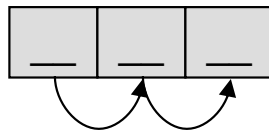
# Recursion and DFS

- Recursion forms a kind of Depth-First Search



Generally: Recursion must perform the same code sequence for each item. Where we need variation, use 'if' statements.

N = length

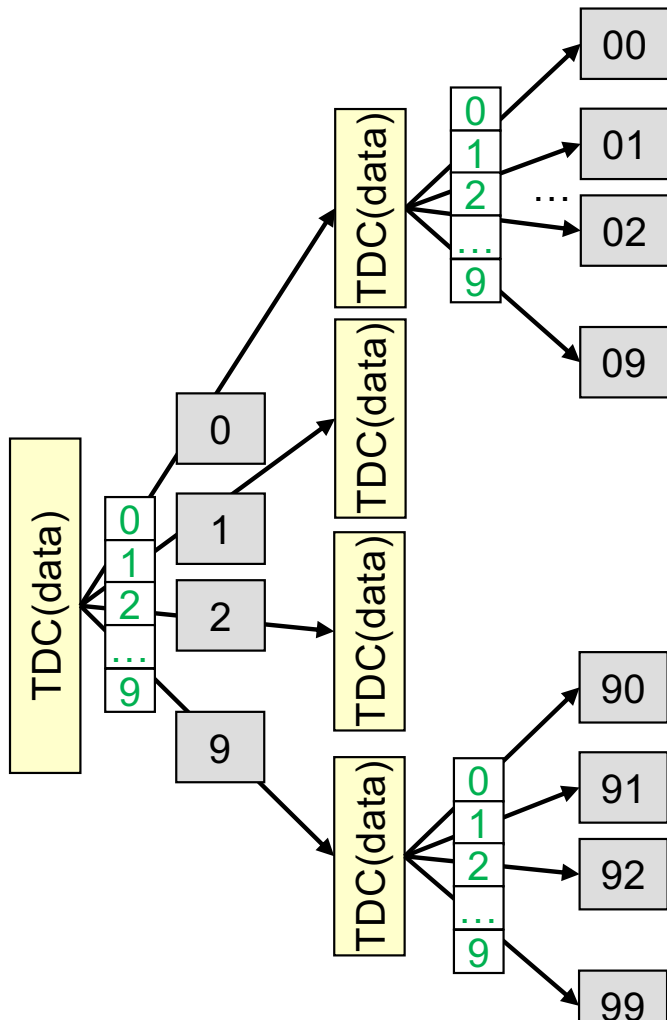


```
// user interface
void binCombos(int len)
{
    binCombos("", len);
}

// helper-function
void binCombos(string prefix,
                int len)
{
    if(prefix.length() == len )
        cout << prefix << endl;
    else {
        // recurse
        binCombos(_____, len);
        // recurse
        binCombos(_____, len);
    }
}
```

# Generating All Combinations

- Recursion offers a simple way to generate all **N-length** combinations of from a set of options, **S**
  - Example: Generate all 2-digit decimal numbers ( $N=2, S=\{0,1,\dots,9\}$ )



```
void NDigDecCombos(string data, int n)
{
    if(data.size() == n )
        cout << data;
    else {
        for(int i=0; i < 10; i++){
            // recurse
            NDigDecCombos(data+(char)('0'+i),n);
        }
    }
}
```

Options

0  
1  
2  
...  
9

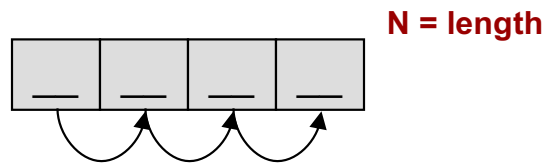
N = length

\_ \_

# Another Exercise

- Generate all string combinations of length  $n$  from a given list (vector) of characters

Options



```
#include <iostream>
#include <string>
#include <vector>
using namespace std;

void all_combos(vector<char>& letters, int n)
{
    // ???
}

int main() {
    vector<char> letters = {'U', 'S', 'C'};

    all_combos(letters, 4);

    return 0;
}
```

**Use recursion to walk down the 'places'**

**At each 'place' iterate through & try all options**

# Recursion and Combinations

- Recursion provides an elegant way of generating all **n**-length combinations of a set of values, **S**.
  - Ex. Generate all length-**n** combinations of the letters in the set **S**={'U','S','C'} (i.e. for n=2: UU, US, UC, SU, SS, SC, CU, CS, CC)
- General approach:
  - Need some kind of **array/vector/string** to store partial answer as it is being built
  - Each recursive call is only responsible for one of the **n** "places" (say location, **i**)
  - The function will iteratively (loop) try each option in **S** by setting location **i** to the current option, then recurse to handle all remaining locations (**i**+1 to **n**)
    - Remember you are responsible for only one location
  - Upon return, try another option value and recurse again
  - Base case can stop when all **n** locations are set (i.e. recurse off the end)
  - Recursive case returns after trying all options



# Exercises

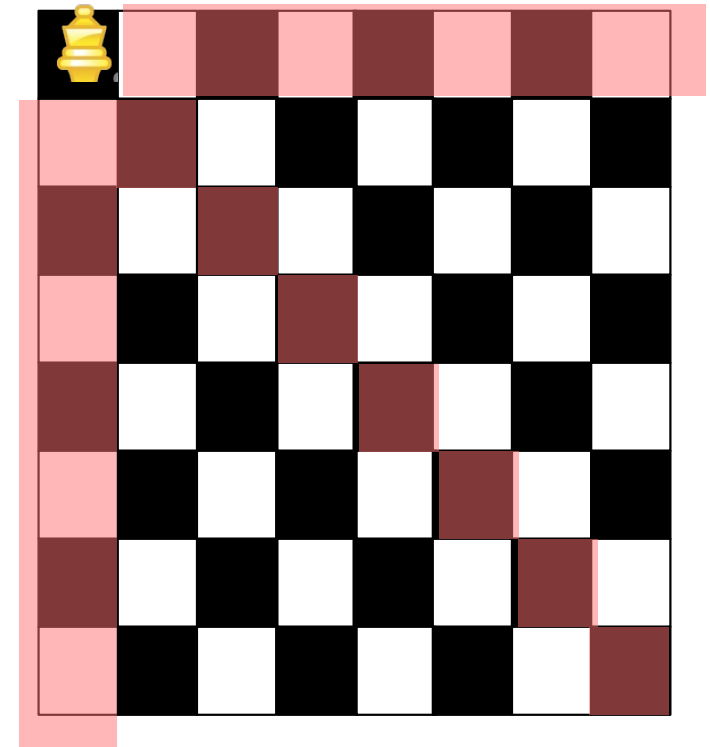
- bin\_combos\_str
- Zero\_sum
- Prime\_products\_print
- Prime\_products
- basen\_combos
- all\_letter\_combos

# Recursive Backtracking Search

- Recursion allows us to "easily" enumerate all **solutions/combinations** to some problem
- Backtracking algorithms are often used to solve **constraint satisfaction problems or optimization problems**
  - Find (**the best**) solutions/combinations that meet **some constraints**
- **Key property of backtracking search:**
  - Stop searching down a path at the first indication that constraints won't lead to a solution
- Many common and important problems can be solved with backtracking approaches
- Knapsack problem
  - You have a set of products with a given weight and value. Suppose you have a knapsack (suitcase) that can hold N pounds, which subset of objects can you pack that **maximizes the value**.
  - Example:
    - Knapsack can hold 35 pounds
    - Product A: 7 pounds, \$12 ea.                      Product B: 10 pounds, \$18 ea.
    - Product C: 4 pounds, \$7 ea.                      Product D: 2.4 pounds, \$4 ea.
- Other examples:
  - Map Coloring, Satisfiability, Sudoku, N-Queens

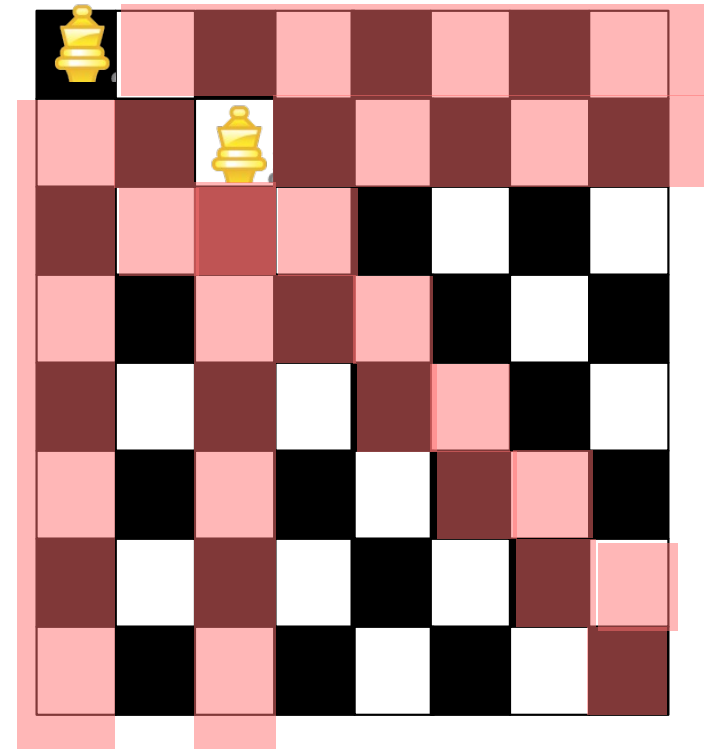
# N-Queens Problem

- Problem: How to place N queens on an NxN chess board such that no queens may attack each other
- Fact: Queens can attack at any distance vertically, horizontally, or diagonally
- Observation: Different queen in each row and each column
- Backtrack search approach:
  - Place 1<sup>st</sup> queen in a viable option then, then try to place 2<sup>nd</sup> queen, etc.
  - If we reach a point where no queen can be placed in row i or we've exhausted all options in row i, then we return and change row i-1



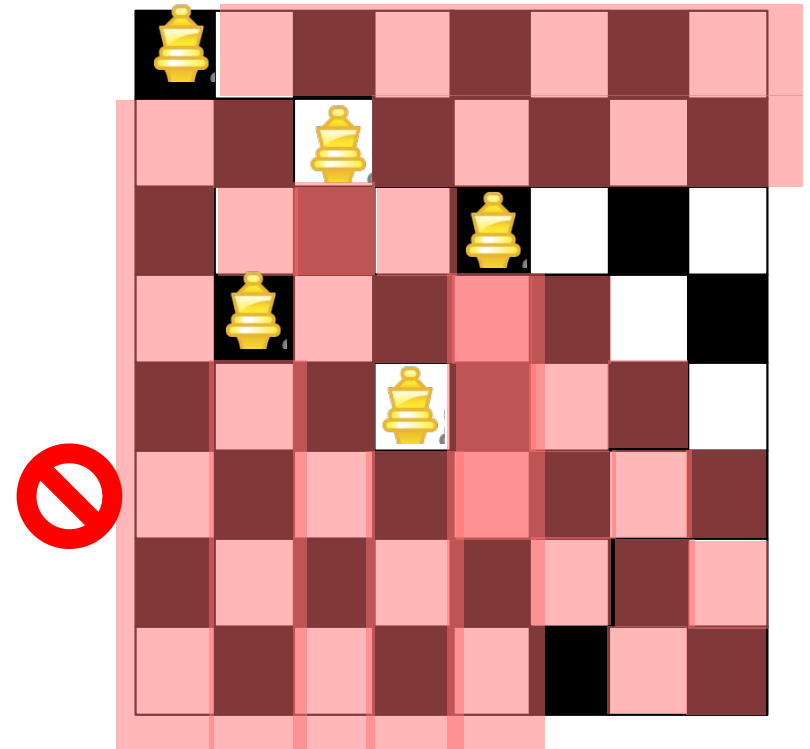
# 8x8 Example of N-Queens

- Now place 2<sup>nd</sup> queen



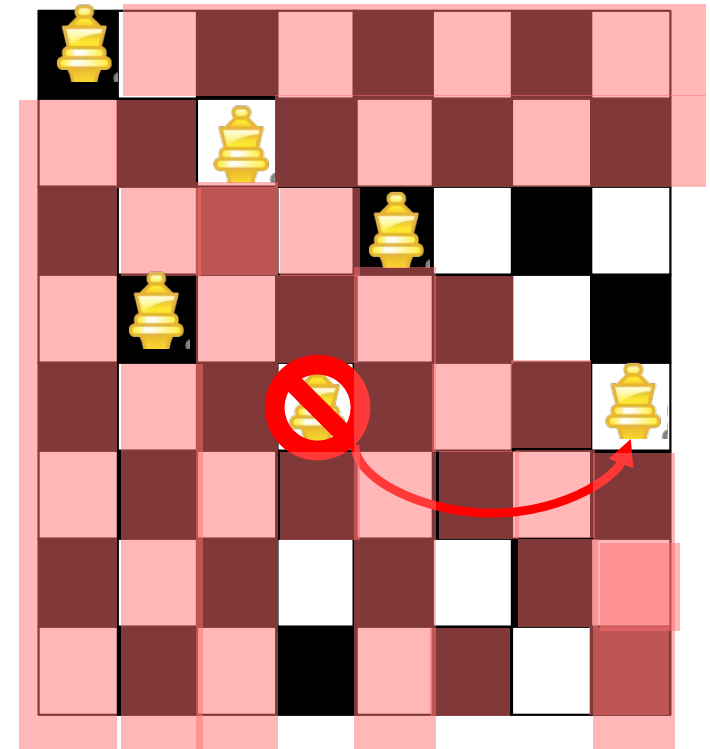
# 8x8 Example of N-Queens

- Now place others as viable
- After this configuration here, there are no locations in row 6 that are not under attack from the previous 5
- **BACKTRACK!!!**



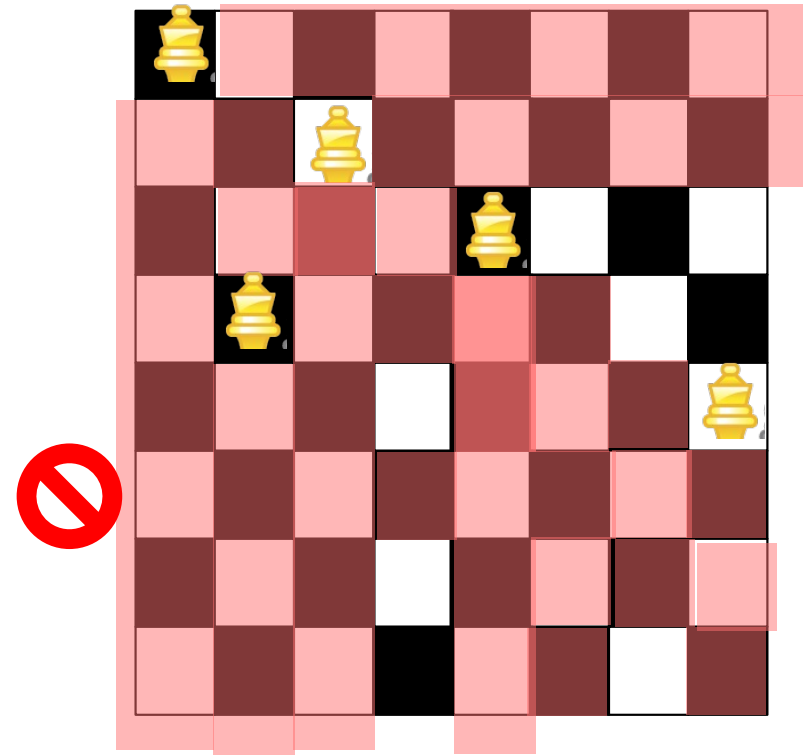
# 8x8 Example of N-Queens

- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- So go back to row 5 and switch assignment to next viable option and progress back to row 6



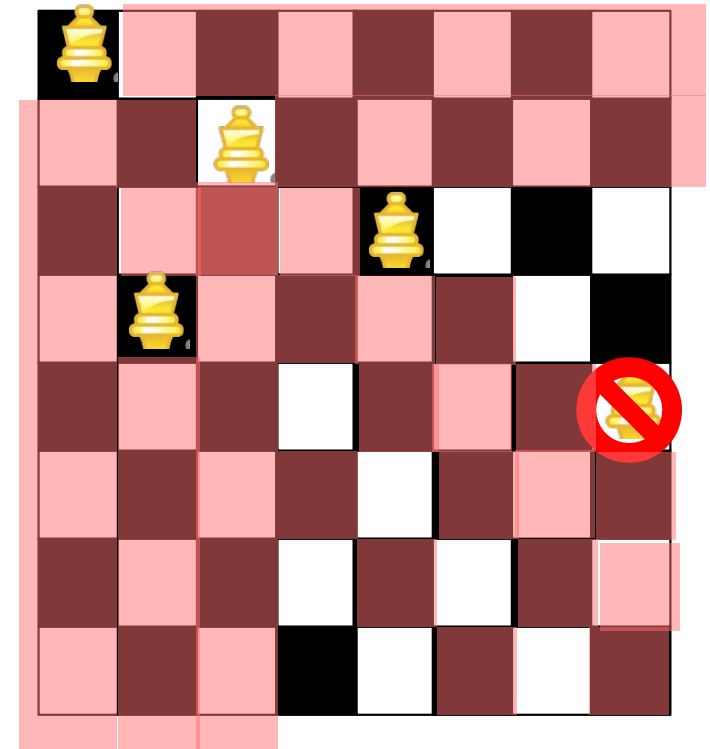
# 8x8 Example of N-Queens

- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- But still no location available so return back to row 5



# 8x8 Example of N-Queens

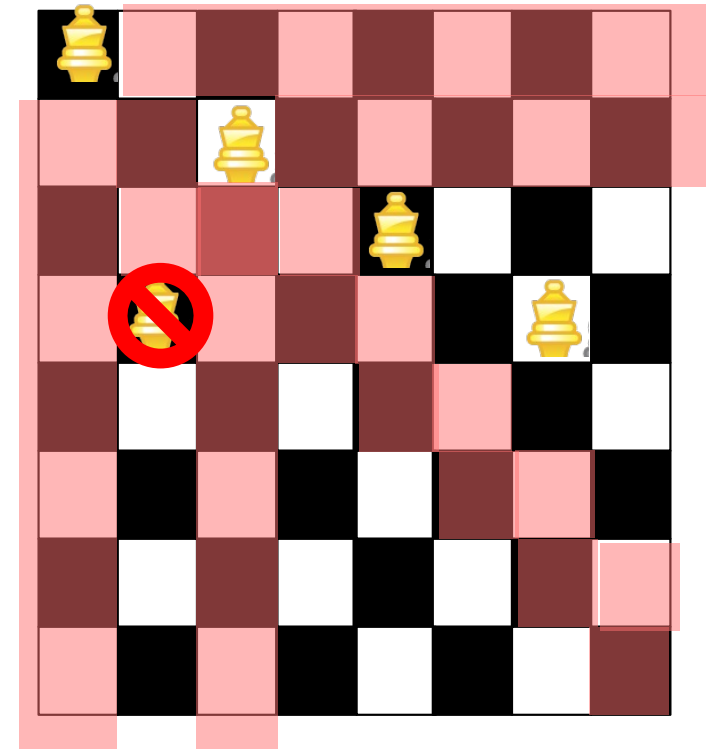
- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- But still no location available so return back to row 5
- But now no more options for row 5 so return back to row 4
- **BACKTRACK!!!!**





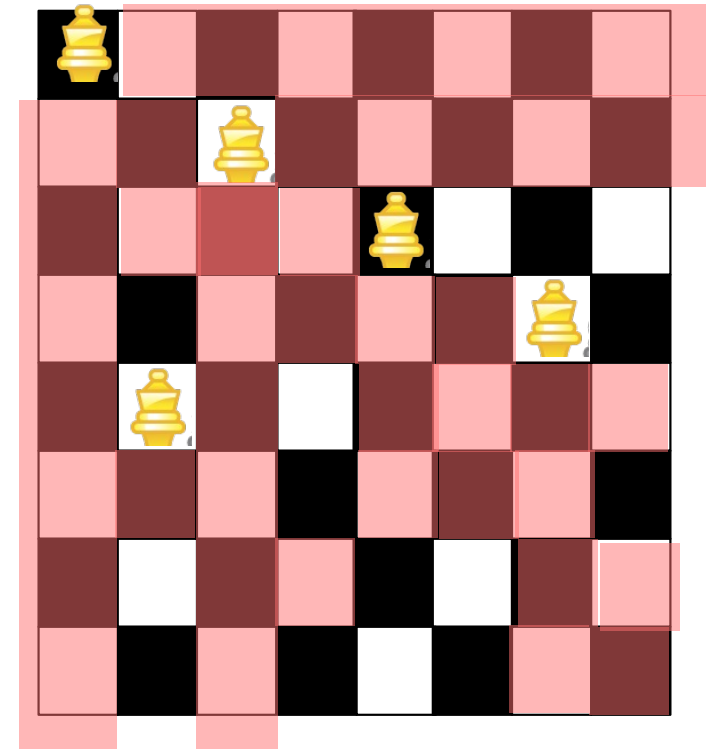
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- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- But still no location available so return back to row 5
- But now no more options for row 5 so return back to row 4
- Move to another place in row 4 and restart row 5 exploration



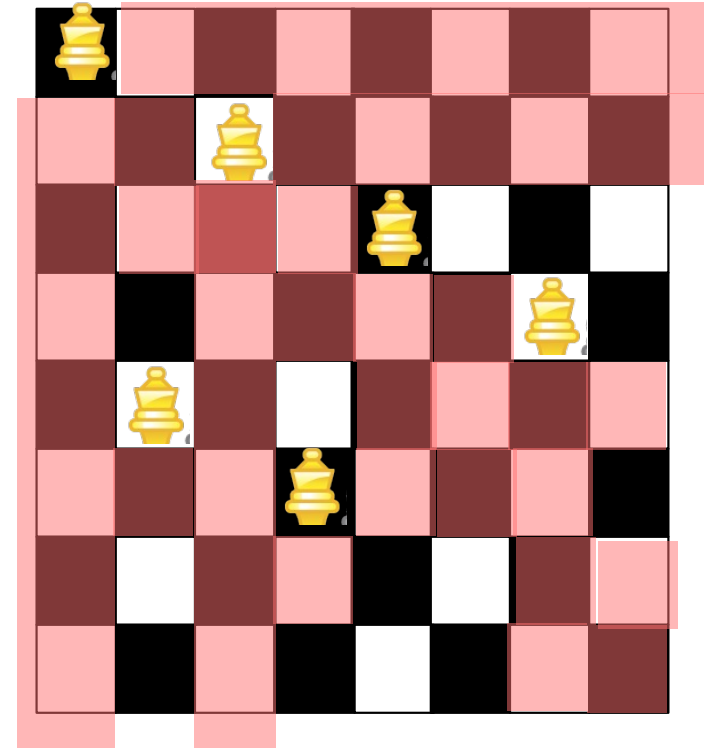
# 8x8 Example of N-Queens

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- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- But still no location available so return back to row 5
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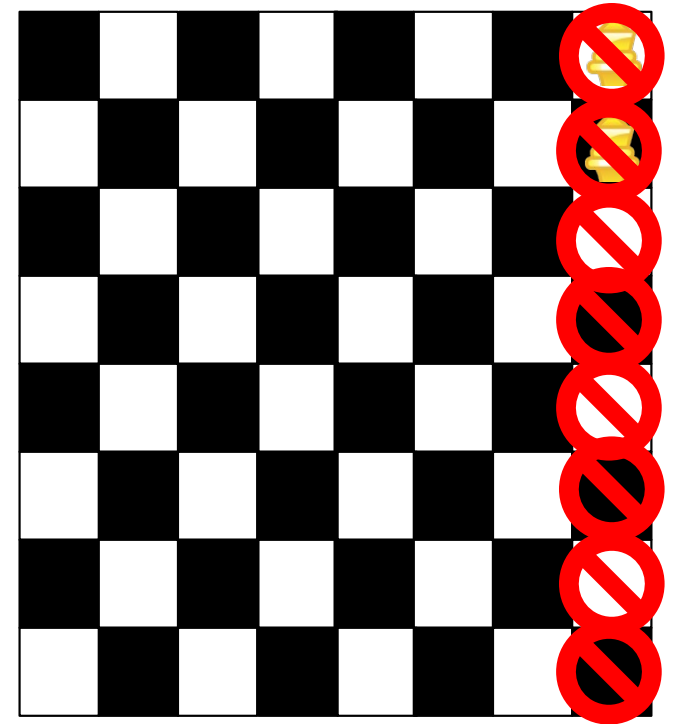
# 8x8 Example of N-Queens

- Now a viable option exists for row 6
- Keep going until you successfully place row 8 in which case you can return your solution
- What if no solution exists?



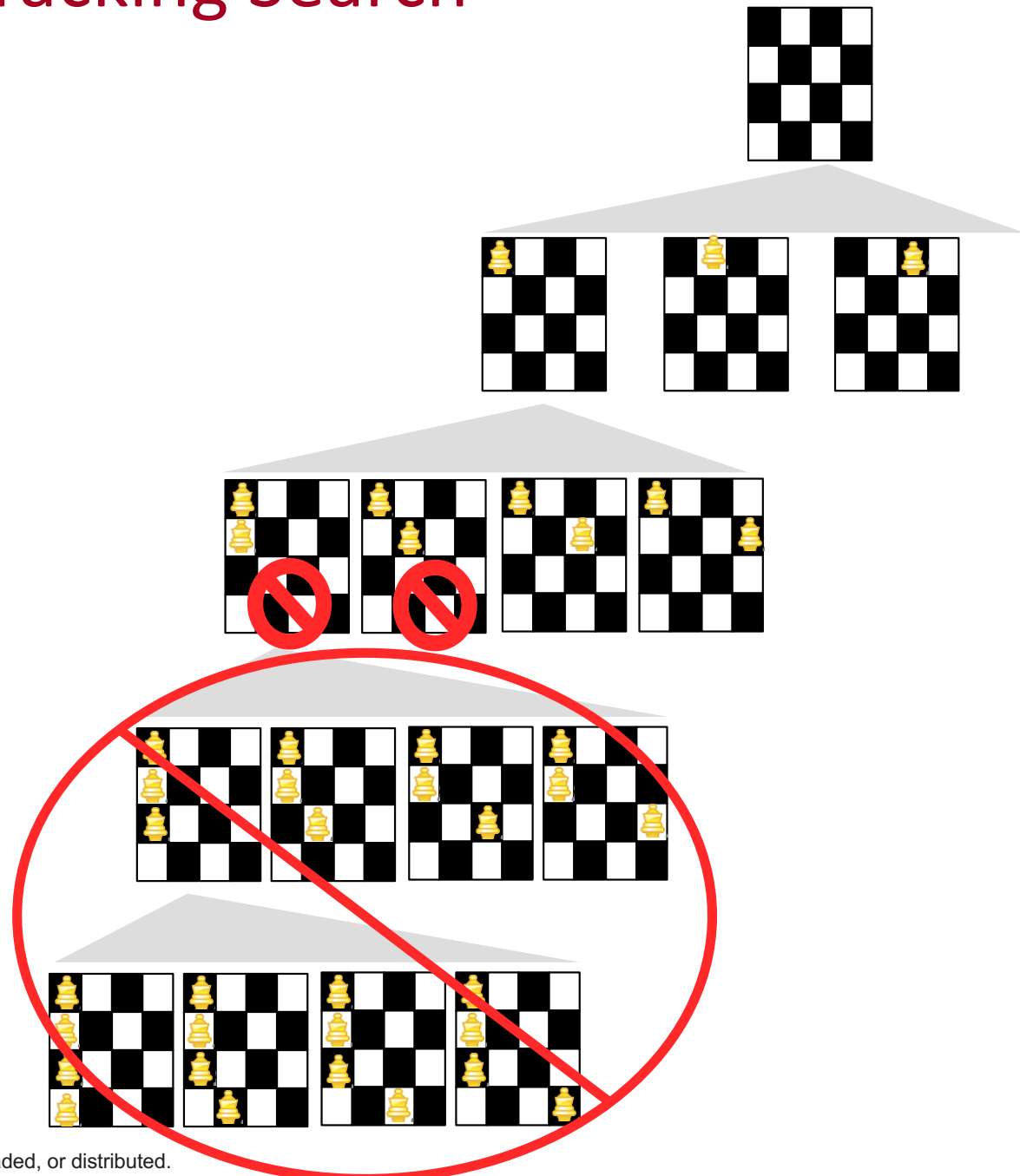
# 8x8 Example of N-Queens

- Now a viable option exists for row 6
- Keep going until you successfully place row 8 in which case you can return your solution
- What if no solution exists?
  - Row 1 queen would have exhausted all her options and still not find a solution



# Backtracking Search

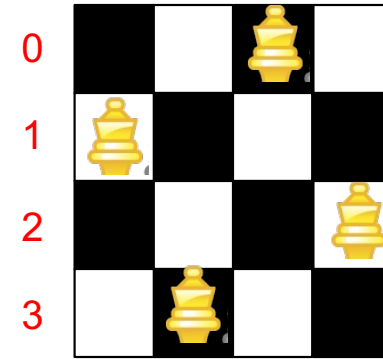
- Recursion can be used to generate all options
  - 'brute force' / test all options approach
  - Test for constraint satisfaction only at the bottom of the 'tree'
- But backtrack search attempts to 'prune' the search space
  - Rule out options at the partial assignment level



Brute force enumeration might test only when a complete assignment is made (i.e. all 4 queens on the board)

# N-Queens Solution Development

- Let's develop the code
- 1 queen per row
  - Use an array where index represents the queen (and the row) and value is the column
- Start at row 0 and initiate the search [i.e. search(0) ]
- Base case:
  - Rows range from 0 to n-1 so STOP when row == n
  - Means we found a solution
- Recursive case
  - Recursively try all column options for that queen
  - But haven't implemented check of viable configuration...



Index = Queen  $i$  in row  $i$     0    1    2    3

$q[i]$  = column of queen  $i$     2    0    3    1

	0	1	2	3
$q[i]$	2	0	3	1

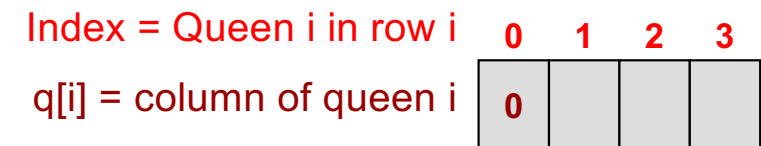
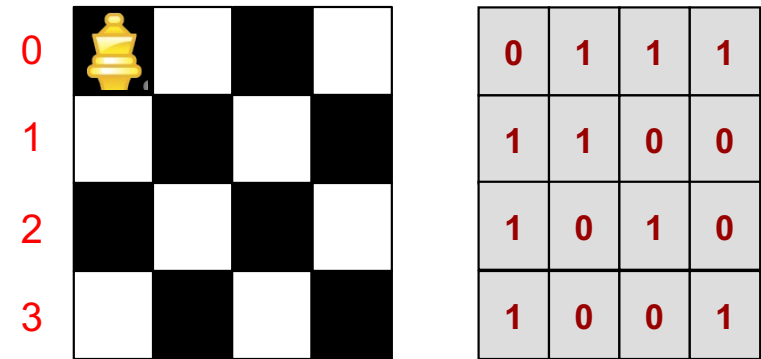
```
int *q; // pointer to array storing
        // each queens location
int n; // number of board / size

void search(int row)
{
    if(row == n)
        printSolution(); // solved!
    else {
        // remember q[row] is the column
        for(q[row]=0; q[row]<n; q[row]++){
            search(row+1);

            // alternatively
            // for(int col = 0; col < n; col++){
            //     q[row] = col;
            //     search(row+1);
            // }
        }
    }
}
```

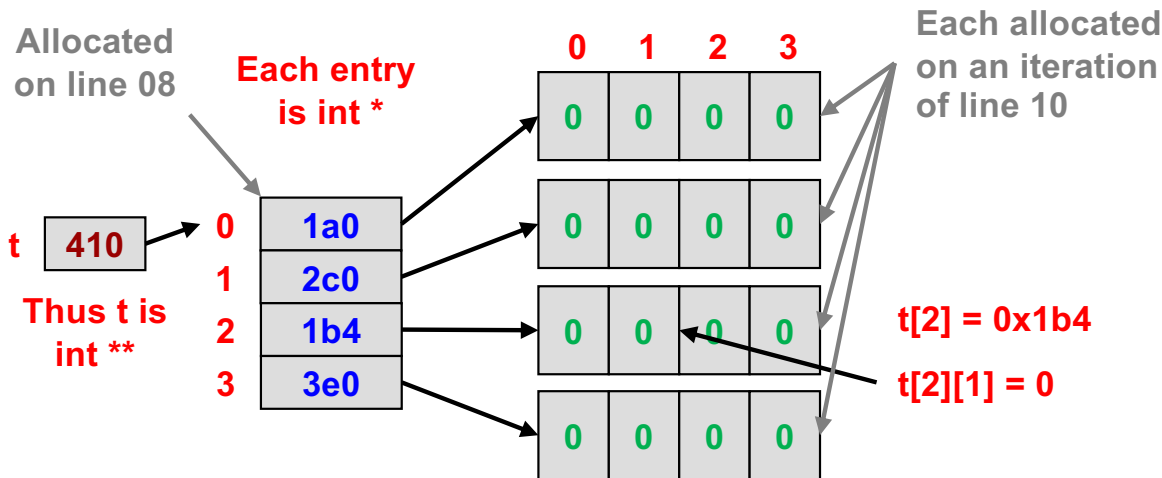
# N-Queens Solution Development

- To check whether it is safe to place a queen in a particular column, let's keep a "threat" 2-D array indicating the threat level at each square on the board
  - Threat level of 0 means SAFE
  - When we place a queen we'll update squares that are now under threat
  - Let's name the array 't'
- Dynamically allocating 2D arrays in C/C++ doesn't really work
  - Instead conceive of 2D array as an "array of arrays" which boils down to a pointer to a pointer



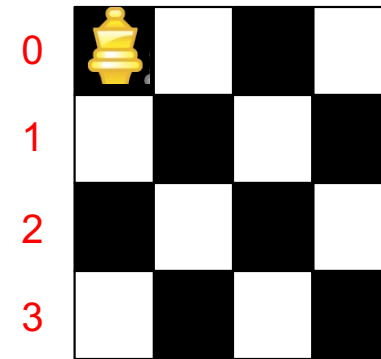
```

00 int *q; // pointer to array storing
01 // each queens location
02 int n; // number of board / size
03 int **t; // thread 2D array
04
05 int main()
06 {
07     q = new int[n];
08     t = new int*[n];
09     for(int i=0; i < n; i++){
10         t[i] = new int[n];
11         for(int j = 0; j < n; j++){
12             t[i][j] = 0;
13         }
14     }
15     search(0); // start search
16     // deallocate arrays
17     return 0;
18 }
    
```



# N-Queens Solution Development

- After we place a queen in a location, let's check that it has no threats
- If it's safe then we update the threats (+1) due to this new queen placement
- Now recurse to next row
- If we return, it means the problem was either solved or more often, that no solution existed given our placement so we remove the threats (-1)
- Then we iterate to try the next location for this queen



Index = Queen  $i$  in row  $i$     0    1    2    3  
 $q[i]$  = column of queen  $i$     0           

```
int *q; // pointer to array storing
        // each queens location
int n; // number of board / size
int **t; // n x n threat array
void search(int row)
{
    if(row == n)
        printSolution(); // solved!
    else {
        for(q[row]=0; q[row]<n; q[row]++){
            // check that col: q[row] is safe
            if(t[row][q[row]] == 0){
                // if safe place and continue
                addToThreats(row, q[row], 1);
                search(row+1);
                // if return, remove placement
                addToThreats(row, q[row], -1);
            }
        }
    }
}
```

t	0	1	2	3
0	0	0	0	0
1	0	0	0	0
2	0	0	0	0
3	0	0	0	0

t	0	1	2	3
0	0	1	1	1
1	1	1	0	0
2	1	0	1	0
3	1	0	0	1

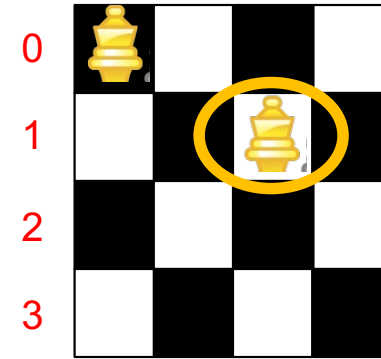
t	0	1	2	3
0	0	0	0	0
1	0	0	0	0
2	0	0	0	0
3	0	0	0	0

Safe to place queen in upper left	Now add threats	Upon return, remove threat and iterate to next option
-----------------------------------	-----------------	---



# addToThreats Code

- Observations
  - Already a queen in every higher row so addToThreats only needs to deal with positions lower on the board
    - Iterate row+1 to n-1
  - Enumerate all locations further down in the same column, left diagonal and right diagonal
  - Can use same code to add or remove a threat by passing in change
- Can't just use 2D array of booleans as a square might be under threat from two places and if we remove 1 piece we want to make sure we still maintain the threat



Index = Queen i in row i    0    1    2    3

q[i] = column of queen i    0    [ ]    [ ]    [ ]

```
void addToThreats(int row, int col, int change)
{
    for(int j = row+1; j < n; j++){
        // go down column
        t[j][col] += change;
        // go down right diagonal
        if( col+(j-row) < n )
            t[j][col+(j-row)] += change;
        // go down left diagonal
        if( col-(j-row) >= 0 )
            t[j][col-(j-row)] += change;
    }
}
```

t	0	1	2	3
0	0	1	1	1
1	1	1	0	0
2	1	0	1	0
3	1	0	0	1

t	0	1	2	3
0	0	1	1	1
1	1	1	0	0
2	1	1	2	1
3	2	0	1	1

# N-Queens Solution

```
00 int *q; // queen location array
01 int n; // number of board / size
02 int **t; // n x n threat array
03
04 int main()
05 {
06     q = new int[n];
07     t = new int*[n];
08     for(int i=0; i < n; i++){
09         t[i] = new int[n];
10         for(int j = 0; j < n; j++){
11             t[i][j] = 0;
12         }
13     }
14     // do search
15     if( ! search(0) )
16         cout << "No sol!" << endl;
17     // deallocate arrays
18     return 0;
19 }
```

```
20 void addToThreats(int row, int col, int change)
21 {
22     for(int j = row+1; j < n; j++){
23         // go down column
24         t[j][col] += change;
25         // go down right diagonal
26         if( col+(j-row) < n )
27             t[j][col+(j-row)] += change;
28         // go down left diagonal
29         if( col-(j-row) >= 0 )
30             t[j][col-(j-row)] += change;
31     }
32 }
33
34 bool search(int row)
35 {
36     if(row == n){
37         printSolution(); // solved!
38         return true;
39     }
40     else {
41         for(q[row]=0; q[row]<n; q[row]++){
42             // check that col: q[row] is safe
43             if(t[row][q[row]] == 0){
44                 // if safe place and continue
45                 addToThreats(row, q[row], 1);
46                 bool status = search(row+1);
47                 if(status) return true;
48                 // if return, remove placement
49                 addToThreats(row, q[row], -1);
50             }
51         }
52         return false;
53     } }
```

# General Backtrack Search Approach

- Select an item and set it to one of its options such that it meets current constraints
- Recursively try to set next item
- If you reach a point where all items are assigned and meet constraints, done...return through recursion stack with solution
- If no viable value for an item exists, backtrack to previous item and repeat from the top
- If viable options for the 1<sup>st</sup> item are exhausted, no solution exists
- Phrase:
  - Assign, recurse, unassign

## General Outline of Backtracking Sudoku Solver

```
00 bool sudoku(int **grid, int r, int c)
01 {
02     if( allSquaresComplete(grid) )
03         return true;
04 }
05 // iterate through all options
06 for(int i=1; i <= 9; i++){
07     grid[r][c] = i;
08     if( isValid(grid) ){
09         bool status = sudoku(...);
10         if(status) return true;
11     }
12 }
13 return false;
14 }
15
16
17
18
19
```

Assume r,c is current square to set and grid is the 2D array of values

# Runtime of All Combinations

- $T(n_r, n_c) = \underline{\hspace{10em}}$
- $T(0, n_c) = 1$

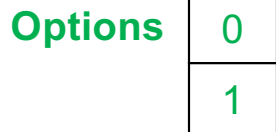
```
int *q; // pointer to array storing
        // each queens location
int n; // number of board / size

void search(int row)
{
    if(row == n)
        printSolution(); // solved!
    else {
        // remember q[row] is the column
        for(q[row]=0; q[row]<n; q[row]++){
            search(row+1);
        }
    }
}
```

# SOLUTIONS

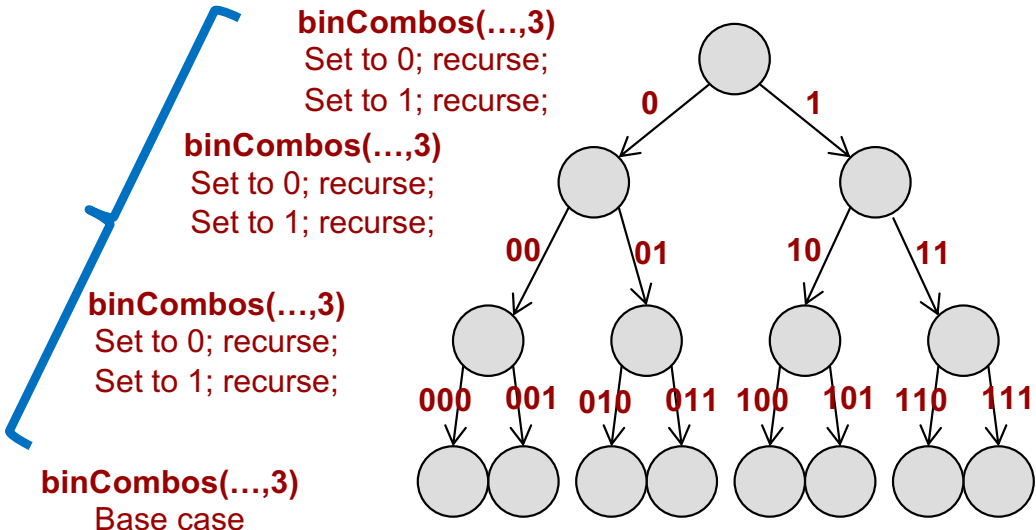
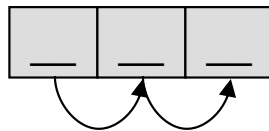
# Recursion and DFS

- Recursion forms a kind of Depth-First Search



Generally: Recursion must perform the same code sequence for each item. Where we need variation, use 'if' statements.

N = length



```
// user interface
void binCombos(int len)
{
    binCombos("", len);
}

// helper-function
void binCombos(string prefix,
                int len)
{
    if(prefix.length() == len )
        cout << prefix << endl;
    else {
        // recurse
        binCombos(prefix+"0" len);
        // recurse
        binCombos(prefix+"1", len);
    }
}
```